

# **ARISTRO CAPITAL MARKETS PRIVATE LIMITED**

## **Policy on Prohibition of Circulation of Unauthenticated News**

This policy is made in accordance with SEBI circular no Cir/ISD/1/2011 dated March 23, 2011 regarding unauthenticated news circulated by SEBI Registered Market Intermediaries through various modes of communication and in exercise of powers conferred under Section 11(1) of the Securities and Exchange Board of India Act, 1992 to protect the interest of investors in securities and to promote the development of, and to regulate the securities markets.

### **GUIDELINES**

Any employees/temporary staff/voluntary workers etc. working in the office shall not in any circumstances be involved in the circulation of an unauthorized market related news/rumours etc.

- ✓ Any Employees/temporary staff/voluntary workers etc. will not encourage or circulate rumours or unverified information obtained from client, industry, any trade or any other sources without verification.
- ✓ Access to Blogs/Chat forums/Messenger sites etc. are not to be allowed.
- ✓ If any employees/temporary staff/voluntary workers etc. wants to open the Blogs/Chat forums/Messenger sites etc., he/she has to take prior permission from the Compliance Officer / Director of ACMPL.
- ✓ Employees/temporary staff/voluntary workers etc. are directed that any market related news received by them either in their official mail/personal mail/blog or in any other manner, are to be forwarded only after reporting and obtaining prior approval from the Compliance Officer/Director of ACMPL. If an employee fails to do so, he/she is deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and shall be liable for disciplinary actions.

